# <span id="page-0-0"></span>Reliable Throughput of Generalized Collision Channel without Synchronization

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# <span id="page-2-0"></span>Collision Channel

- ▶ Practical communication systems: often multi-user in nature.
- ▶ Signals intended for a receiver may cause interference at other receivers.
- $\triangleright$  Collision channel: the simplest and nontrivial multi-user system

▶ Collision: two or more packets overlap at receivers.

▶ Massey and Mathys [\[3\]](#page-23-0) studied the collision channel model for multiple access communication under unknown time offsets.



## <span id="page-3-0"></span>Time offsets

- ▶ Time offsets can model both transmission delays and time unsynchronization.
- ▶ The work [[2](#page-23-1)] and its seminal research studied communication with fixed time offsets.
- ▶ Collision channel: communication under unfixed time offsets.

# <span id="page-4-0"></span>Protocol Sequence

▶ Transmissions are under the guidance of protocol sequences.

- $\triangleright$  Binary sequences with finite length;
- $\triangleright$  Shift-invariant (SI): throughputs remain the same under arbitrary time offsets.
- ▶ Massey and Mathys [\[3\]](#page-23-0) proved that reliable throughputs for multiple access systems can be achieved by SI sequence.
- ▶ Families of protocol sequences for multiple access communication: shift-invariant sequences [\[4\]](#page-24-1), the Wobbling sequences [[5](#page-24-2)], the Chinese Reminder Theorem sequences [\[1\]](#page-23-2).

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#### <span id="page-6-0"></span>Generalized Collision Channel Model

- ▶ A communication system consists of *M* transmitters  $u_1, u_2, \ldots, u_M$ , paired up with *M* receivers  $r_1, r_2, \ldots, r_M$ .
- $\blacktriangleright$  Each pair  $(u_i, r_i)$  is defined as a link  $l_i$ ,  $i = 1, 2, \ldots, M$ .
- ▶ In practice, some transmitters and receivers may correspond to an identical physical node.
- $\triangleright$   $\mathcal{L} := \{l_1, l_2, \ldots, l_M\}$  is the collection of all links.



Figure: Line network. Receiver  $r_i$  and transmitter  $u_{i+1}$  correspond to one physical node, depicted as one shaded area.

## <span id="page-7-0"></span>Time offsets of Collision Channel

- ▶ Time offset  $\delta_k^i$ : transmitter  $u_i$  starts transmission at time *t*, receiver  $r_k$  starts to detect  $u_i$ 's signal at time  $t + \delta_i^k$ .
- $\triangleright$  Collision occurs at receiver  $r_k$  as signals from  $u_i$  and  $u_i$  overlap.



Figure: The time offset  $\delta^i_k$  is from transmitter  $u_i$  to receiver  $r_k$ . The dark area at *r<sup>k</sup>* represents a collision.

### <span id="page-8-0"></span>Collision Profile

Assume signals can propagate two hops at most.



- ▶ Since the signals from transmitter *u*<sub>3</sub> can be detected at receiver  $r_4$ , the link  $l_3 = (u_3, r_3)$  is in  $l_4$ 's collision set  $\mathcal{I}(l_4)$ .
- ▶ Signals from *u*<sub>1</sub> and *u*<sub>2</sub> cannot reach *r*<sub>4</sub>. Hence, *l*<sub>1</sub> and *l*<sub>2</sub> are not in  $\mathcal{I}(l_4)$  and  $\mathcal{I}(l_4) = \{l_3\}.$

- $\triangleright$   $\mathcal{I}(l_1) = \{l_2, l_3, l_4\}, \mathcal{I}(l_2) = \{l_1, l_3, l_4\}$  and  $\mathcal{I}(l_3) = \{l_2, l_4\}.$
- $\triangleright$  Collision profile  $\mathcal{I} = {\mathcal{I}(l_1), \mathcal{I}(l_2), \mathcal{I}(l_3), \mathcal{I}(l_4)}$

## <span id="page-9-0"></span>Protocol Sequence

- ▶ Timeslot *n ∈* Z: the semi-open time interval *n ≤ t < n* + 1.
- $\blacktriangleright$  Protocol signal  $s_i(t)$  assigned to transmitter  $u_i$ :  $l_i$  is active if  $s_i(t) = 1$  and inactive if  $s_i(t) = 0$ .
- $\triangleright$  Continuous protocol signal  $s_i(t)$  can be equivalently represented by a binary protocol sequence  $s_i := [s_i(0), s_i(1), \ldots, s_i(L_i-1)].$



[.](#page-8-0) . . . [.](#page-10-0) [.](#page-8-0) [.](#page-9-0) . [.](#page-9-0) . [.](#page-10-0) . . [.](#page-4-0) [.](#page-5-0) . [.](#page-12-0) . [.](#page-13-0) . . . [.](#page-4-0) . [.](#page-5-0) . [.](#page-12-0) . [.](#page-13-0) [.](#page-0-0) [.](#page-24-0) . . . . . . . .

Figure: The protocol signal corresponding to the protocol sequence  $s = [1, 0, 1, 0]$ . The shaded areas represent active time intervals.

## <span id="page-10-0"></span>Reliable Throughput Region

- ▶ Duty factor *f<sub>i</sub>* for **s**<sub>*i*</sub>: the fraction of its nonzero period  $f_i = \frac{1}{L}$  $\frac{1}{L_i} \sum_{t=0}^{L_i-1} s_i(t)$ .
- $\blacktriangleright$  Approachable throughput vector  $\mathbf{T} = [T_1, \ldots, T_M]$ : *∀ϵ >* 0, there exist protocol signals *si*(*t*) for each transmitter  $u_i$ , such that the receiver  $r_i$  is able to receive correctly the packets from  $u_i$  at a rate no smaller than  $T_i - \epsilon$ packets/timeslot, for any values of the time offsets.
- ▶ We call the collection of all approachable reliable throughput vectors reliable throughput region.

# <span id="page-11-0"></span>Two Reliable Throughput Regions

- $\triangleright$  Slot-synchronized cases  $C_{\sf s}$ : the collection of all approachable throughput vectors under arbitrary integer time offsets.
- $\triangleright$  Non-synchronized cases  $C_u$ : the collection of all approachable throughput vectors under arbitrary time offsets.

# <span id="page-12-0"></span>Major Problems

- ▶ What is the difference in reliable throughput region between the slot-synchronized cases *C<sup>s</sup>* and the non-synchronized cases *Cu*?
- ▶ How to construct protocol sequence to approach reliable throughputs for generalized collision channel?
- ▶ How to approach the outer boundary of reliable throughput regions?

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### <span id="page-14-0"></span>Main Result

#### Theorem

*Given a link set*  $\mathcal{L} = \{l_1, \ldots, l_M\}$  *and its collision profile*  $\mathcal{I}, \mathcal{C}_s = \mathcal{C}_u$ *, consisting of throughput vectors*  $\mathbf{T} = [T_1, \ldots, T_M]$  *such that* 

$$
T_i = f_i \prod_{j: l_j \in \mathcal{I}(l_i)} (1 - f_j),
$$

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 $\mathbf{where} \; \mathbf{f} = [f_1 \dots, f_M] \; \text{is a duty factor vector in} \; [0,1]^M.$ 

# <span id="page-15-0"></span>Shifted Protocol Sequences

- $\triangleright$  Under integer time offsets, the receivers receive packets according to a row-wise shifted protocol sequence.
- ▶ Transmitter *u<sub>i</sub>* send signals according to  $[s_i(0), s_i(1), \ldots, s_i(L-1)]$ . Receiver  $r_i$  receives  $[s_i(-\delta_i^j \bmod L), s_i(1-\delta_i^j \bmod L), \ldots, s_i(L-1-\delta_i^j \bmod L)].$

#### Example

Suppose transmitter  $u_i$  sends  $\mathbf{s}_i = [1, 0, 1, 0]$  and  $\delta_i^i = 1$ . The signals received at  $r_i$  is indeed  $[0, 1, 0, 1]$ .



## <span id="page-16-0"></span>Protocol Matrices in Generalized Collision Channel

- ▶ Protocol matrix  $\mathbf{S} = [s_1, s_2, \dots, s_M]^\intercal$ .
- $\blacktriangleright$  Each receiver  $r_i$  receives a row-wise shifted submatrix  $S'[\delta]$ .
- ▶ Submatrix  $S^{i}[\delta]$ : formed by a subset of  $\{s_1, s_2, \ldots, s_M\}$ .

#### Example

Suppose  $\mathcal{I}(\ell_2) = \{\ell_3\}$ . Let the time offsets from  $u_2$  to  $r_2$  be 1 and from  $u_3$  to  $r_2$  be 3. When the protocol matrix  $\mathbf{S} =$  $\sqrt{ }$  $\mathbf{I}$ 1 0 1 0 1 1 0 0 0 1 0 1 1  $\vert$ , the row-wise shifted submatrix  ${\bf S}^2[\delta]$  received by  $r_2$  is

$$
\begin{bmatrix} s_2(3) & s_2(0) & s_2(1) & s_2(2) \\ s_3(1) & s_3(2) & s_3(3) & s_3(0) \end{bmatrix} = \begin{bmatrix} 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 \end{bmatrix}
$$

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# <span id="page-17-0"></span>Shift-Invariant (SI) Protocol Sequences

- $\triangleright$  A set of sequences  $\{s_1, s_2, \ldots, s_M\}$  is SI if the resulting throughputs  $T_1, T_2, \ldots, T_M$  remains the same under arbitrary time offsets.
- $\triangleright$  The sequence set  $\{s_1, s_2, \ldots, s_M\}$  is SI if and only if, in the corresponding protocol matrix **S**, the combination of columns remains the same under arbitrary row-wise shift.

# <span id="page-18-0"></span>SI Protocol Sequences in Generalized Collision Channel

- ▶ Multiple access communication: S is shift-invariant.
- ▶ Generalized collision channel:  $S^i$  for each receiver  $r_i$  is shift-invariant.
- ▶ Are the sequence subsets *{si}i∈J*(*j*) still shift-invariant for all receiver *r<sup>j</sup>* , *j* = 1*,* 2*, . . . , M*?

#### Lemma

*For any integer time offsets*  $\delta$  *and collision set*  $\mathcal{I}(l_i)$  *at receiver r<sub>i</sub>*,  $i = 1, 2, \ldots, M$ , the combination of columns in  $\mathbf{S}^i[\delta]$  remains the *same under arbitrary row-wise shift. All constructed* **S** *i satisfies*  $T_i = f_i \prod_{j: l_j \in \mathcal{I}(l_i)} (1 - f_j).$ 

#### <span id="page-19-0"></span>Non-synchronized Case *C<sup>u</sup>*

- ▶ Adjust constructed protocol sequences by replacing 0 with 0<sup>k</sup> and 1 with 1*k−*<sup>1</sup> .
- ▶ The positive integer *k* is sufficiently large, 0*<sup>k</sup>* and 1*k−*<sup>1</sup> denote a string of *k* zeros and a string of *k −* 1 ones.
- ▶ All the throughput vectors are approachable using the adjusted protocol matrices for non-synchronized collision channels.

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# <span id="page-21-0"></span>Outer Boundary

▶ Outer boundary of reliable throughput region: the set of all approachable **T**, such that there does not exist another approachable **T***′* with **T** *<* **T***′* .

► Each 
$$
T_i = f_i \prod_{j: l_j \in \mathcal{I}(l_i)} (1 - f_j)
$$
 is defined by duty factors.

- ▶ Protocol sequences are constructed based on duty factors.
- ▶ Find duty factor vectors **f** that map to the throughput vectors on the outer boundary.

## <span id="page-22-0"></span>Characterization of Outer Boundary

 $\blacktriangleright$  **F** = diag(**f**).

- $\blacktriangleright$  **I** is the  $M \times M$  identity matrix.
- ▶  $\textbf{E} = [e_{ij}] \in \{0,1\}^{M \times M}$ , where  $e_{ij} = 1$  if  $l_i \in \mathcal{I}(l_j)$  and  $e_{ij} = 0$ otherwise.

#### Theorem

<span id="page-22-1"></span>*For irreducible*  $\mathsf{F}(\mathsf{E} + \mathsf{I})$ *, the duty factor vector*  $\mathsf{f} \in (0,1)^M$ *determines a point on the outer boundary of a reliable throughput region if only if the Perron–Frobenius eigenvalue of* **F**(**E** + **I**) *is* 1*.*

In the collision channel model for multiple access communication, **E** + **I** is a matrix of all ones. Then the condition in Theorem. [5](#page-22-1) is ∑ *M*  $\frac{m}{n-1}$   $f_i = 1$ , consistent to the result in [\[3\]](#page-23-0).

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